**The List of Licensed Brokerage Companies in Georgia:**

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| **Brokerage Company** | **I/N** | **The Number of Licensing Document** | **The Date of License Issuance** | **Contact Information** |
| **LLC TBC Capital** | 204929961 | Decree of the Vice-Governor  of the National Bank of Georgia   #468 | 01.10.2015 | (995 32) 2 272 733  [www.tbccapital.ge](http://www.tbccapital.ge)  Juridical: 0179 Chavchavadze ave. #11;  Actual : 0102, Marjanishvili str. #7;  Tbilisi; Georgia |
| **JSC Galt & Taggart** (211359206) | 211359206 | Decree of the Vice-Governor  of the National Bank of Georgia   #820 | 10.09.2014 | (995 32) 2 240 11 11  <https://galtandtaggart.com/>  Juridical: Krtsanisi District, Pushkini str. N3  Actual :0102, Marjanishvili str. #7;  Tbilisi; Georgia |
| **LLC Cartu Broker** | 204929970 | Decree of the National Commission of Securities #20026 | 11.02.2000 | (995 32) 2 912 890  (995 32) 2 912 278  Juridical: 0162, Chavchavadze ave. #39a  Actual: 0162, Chavchavadze ave. #39a |
| **JSC Caucasus Capital Group** | 205116943 | Decree of the National Commission of Securities  # 20047 | 02.05.2006 | (995 32) 2 231 900  (995 32) 2 291 457  [www.capital.ge](http://www.capital.ge)  Juridical: Tbilisi, 0162, Chavchavadze ave. #74a / Mosashvili str. #11, (2nd floor)  Actual: Tbilisi, 0162, Chavchavadze ave. #74a/Mosashvili str. #11, (2nd floor) |
| **JSC Heritage Securities** | 205286457 | Decree of the Governor of the National Bank of Georgia   #97  Decree of the Head of the Financial Supervision Agency #09-10/8 | 13.09.2017;  09.10.2009 | (995 32) 2 19 77 45  [www.heritagesecurities.ge](http://www.heritagesecurities.ge)  Juridical: Tbilisi, 0179, Chavchavadze ave. #29;  Actual: Tbilisi, 0179, Chavchavadze ave. #29, (3rd floor) |
| **LLC Abbey Asset Management** | 205207970 | Decree of the chief of the Financial Monitoring Service of Georgia #150 | 22.11.2007 | +995 422 239 000  <http://abbey.ge/public/ge>  Juridical: 0162,Chavchavadze ave. #74a  Actual:  Batumi, Lech and Maria Kaczynski St. #3 |
| **Fidelis  Wealth Management LLC** | 405214726 | Decree of the Governor  of the National Bank of Georgia   #154 | 28.12.2017 | 995 555 459 011  <http://www.fidelismgt.com/>  Juridical: office #3, 6-th floor, 11 Andria Apakidze,  Tbilisi; Georgia  Actual: office #2, 9-th floor, 11 Andria Apakidze, Tbilisi; Georgia |
| **Trade Holding LLC** | 416331507 | Decree of the Governor of the National Bank of Georgia   # 47 | 17.04.2018 | 995 596 322 232  <http://www.viptrade.ge/>  Juridical: Tbilisi, Kostava str. #36/Melikishvili str. #1;  Actual: Tbilisi, Kostava str. #36/Melikishvili str. #1. |
| **Alpha Capital Advisory LLC** | 405482721 | Decree of the Vice-Governor of the National Bank of Georgia # 342 | 09.11.2021 | **Email:** info@alphacapitaladvisory.com  Juridical: Georgia, Tbilisi, Vake-Saburtalo District, Lisi Veranda, Iasamnebi str. N5, Flat 3  Actual: Georgia, Tbilisi, Vake-Saburtalo District, Lisi Veranda, Iasamnebi str. N5, Flat 3 |

**Independent Registrars of Securities:**

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| **Registrar** | **I/N** | **The Number of Licensing Document** | **The Date of License Issuance** | **Contact Information** |
| **JSC Kavkasreestri** | 211369115 | Decree of the National Commission of Securities #70002 | 30.03.1999 | (+995 32) 2 500 211  [www.kavkasreestri.ge](http://www.kavkasreestri.ge)  Juridical: 0162 Chavchavadze ave. #74a  Actual: 0186 Vazha-pshavela ave. 71, floor 7th, block 10. Tbilisi; Georgia |
| **JSC United securities registrar of Georgia** | 205156374 | Decree of the National Commission of Securities #70006 | 26.01.2000 | (995 32) 2 251 560  [www.usr.ge](http://www.usr.ge)  Juridical: 0162, Tbilisi, Chavchavadze ave. #74a/Mosashvili str. #11, (7th floor);  Actual: 0162, Tbilisi, Chavchavadze ave. #74a/Mosashvili str. #11, (7th floor); |
| **JSC  CSR** | 405141190 | Decreeof the Vice-Governor  of the National Bank of  Georgia  #290 | 05.05.2016 | 599 55 24 95  Juridical: 0162, Tbilisi, Chavchavadze ave. #74/11;Actual: 0162, Tbilisi, Chavchavadze ave. #74a/Mosashvili str. #11, (2nd floor) |

**Licensed Stock Exchange:**

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| **Stock Exchange** | **I/N** | **The Number of Licensing Document** | **The Date of License Issuance** | **Contact Information** |
| **JSC Georgian Stock Exchange** | 211382145 | Decree of the National Commission of Securities #9001 | 14.01.2000  Juridical: 0162 Chavchavadze ave. #74a (3rd floor)  Actual: 0186 Vazha-pshavela ave. 71, floor 7th, block 10. Tbilisi; Georgia | (+995 32) 2 500 211  [www.gse.ge](http://www.gse.ge) |
| **JSC Tbilisi Stock  Exchange** | 405099682 | Decree of the Vice-Governor of the National Bank of Georgia  # 321 | 03.07.2015  Juridical: Floor 2 , Block  8, 71 Vazha-pshavela ave., Tbilisi 0186, Georgia  Actual: Floor 2 , Block  8, 71 Vazha-pshavela ave., Tbilisi 0186, Georgia | **Tel:** (+995 32) 2 500 211 |

**Licensed Central Depository:**

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| **Central Depository** | **I/n** | **The Number of Licensing Document** | **The Date of License Issuance** | **Contact Information** |
| **JSC Georgian Securities Central Depository** | 204935400 | Decree of the National Commission of Securities #80001 | 01.03.2000 | (+995 32) 2 500 211  www. gcsd.ge  Juridical: 0162  Chavchavadze ave, #74a Actual: 0186 Vazha-pshavela ave. 71, floor 7th, block 10. Tbilisi; Georgia |